



503012-A-01-US (Chou)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application

Applicant(s): W. Chou et al.  
Case: 503012-A-01-US (Chou)  
Serial No.: 10/668,563  
Filing Date: September 23, 2003  
Group: To Be Assigned  
Examiner: To Be Assigned

I hereby certify that this paper is being deposited on this date with the U.S. Postal Service as first class mail addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature: *V. Bonciani* Date: November 6, 2003

Title: Voice Message Notification and Retrieval Via  
Mobile Client Devices in a Communication System

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants' attorney wishes to bring to the attention of the Patent and Trademark Office the following documents listed on the accompanying Form PTO-1449. A copy of each listed document is enclosed.

1. J.J. Li et al., "An Adaptable Architecture for Secure Delivery of Converged Services," The 6th International Symposium on Autonomous Decentralized Systems, pp. 1-5, April 2003.
2. F. Liu et al., "A Distributed Multimodal Dialogue System Based on Dialogue System and Web Convergence," Proc. ICSLP'02, 4 pages, October 2002.
3. X. Shan et al., "A Case Study of IP Network Monitoring Using Wireless Mobile Devices," Proc. IC3N'01, 4 pages, October 2001.
4. G. Niklfeld et al., "Architecture for Adaptive Multimodal Dialog Systems Based on VoiceXML," Proceedings of Eurospeech, 4 pages, 2001.
5. K. Wang, "Implementation of a Multimodal Dialogue System Using Extended Markup Languages," Proc. of the Int. Conf. on Spoken Language Processing, 4 pages, October 2000.

6. J. Hjelm et al., Index of Submissions, W3C Workshop on Delivery Context, <http://www.w3.org/2002/02/DIWS/submission/>, 8 pages, March 2002.

7. WAP Architecture, Version 12, Wireless Application Protocol, Architecture Specification, WAP-210-WAPArch-20010712, <http://www1.wapforum.org/tech/documents>, July 2001.

8. WAP Push Architectural Overview, Version 3, Wireless Application Protocol, WAP-250-PushArchOverview-20010703-a, <http://www1.wapforum.org/tech/documents>, July 2001.

9. WAP Push Access Protocol, Version 16, Wireless Application Protocol, Push Access Protocol Specification, <http://www.wapforum.org/technical/PROP-PAP-19990816.pdf>, August 1999.

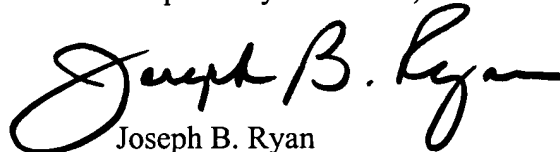
10. WAP Push OTA Protocol, Version 25, Wireless Application Protocol, WAP-235-PushOTA-20010425-a, <http://www1.wapforum.org/tech/documents/WAP-235-PushOTA-20010425-a.pdf>, April 2001.

11. Mobilis General Description, [www.activevoice.nl/press/mobilis](http://www.activevoice.nl/press/mobilis), pp. 1-26, March 2002.

It is believed that there is no fee due in conjunction with the filing of this Information Disclosure Statement. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **Avaya Inc. Deposit Account No. 50-1602** as required to correct the error.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or as an admission that the information cited is considered to be material to patentability, or as a representation that no other material information exists.

Respectfully submitted,



Date: November 6, 2003

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## FORM PTO-1449 (MODIFIED)

LIST OF PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

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## U.S. PATENT DOCUMENTS

EXAMINER						FILING DATE
INITIAL	DOCUMENT NO.	DATE	NAME		CLASS/SUBCLASS	IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

EXAMINER						TRANSLATION
INITIAL	DOCUMENT NO.	DATE	COUNTRY		CLASS/SUBCLASS	YES NO

## OTHER DOCUMENTS

EXAMINER			
INITIAL	REF NO.	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.	

1. J.J. Li et al., "An Adaptable Architecture for Secure Delivery of Converged Services," The 6th International Symposium on Autonomous Decentralized Systems, pp. 1-5, April 2003.
2. F. Liu et al., "A Distributed Multimodal Dialogue System Based on Dialogue System and Web Convergence," Proc. ICSLP'02, 4 pages, October 2002.
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7. WAP Architecture, Version 12, Wireless Application Protocol, Architecture Specification, WAP-210-WAPArch-20010712, <http://www1.wapforum.org/tech/documents>, July 2001.
8. WAP Push Architectural Overview, Version 3, Wireless Application Protocol, WAP-250-PushArchOverview-20010703-a, <http://www1.wapforum.org/tech/documents>, July 2001.
9. WAP Push Access Protocol, Version 16, Wireless Application Protocol, Push Access Protocol Specification, <http://www.wapforum.org/technical/PROP-PAP-19990816.pdf>, August 1999.
10. WAP Push OTA Protocol, Version 25, Wireless Application Protocol, WAP-235-PushOTA-20010425-a, <http://www1.wapforum.org/tech/documents/WAP-235-PushOTA-20010425-a.pdf>, April 2001.
11. Mobilis General Description, [www.activevoice.nl/press/mobilis](http://www.activevoice.nl/press/mobilis), pp. 1-26, March 2002.

Examiner

Date Considered

**Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.